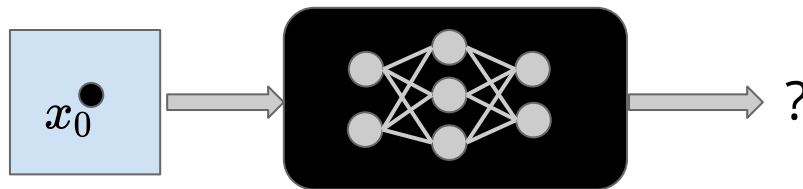


# AAAI 2022 Tutorial on Neural Network Verification

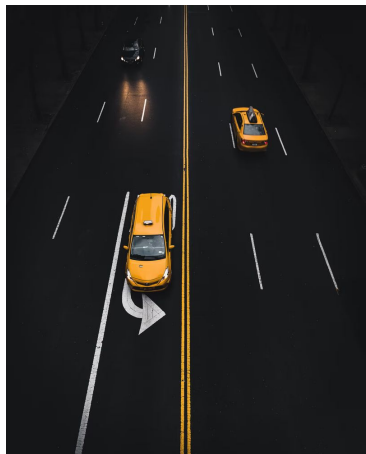
## Part I: Introduction to NN Verification

Huan Zhang (CMU), Kaidi Xu (Drexel), Shiqi Wang (Columbia) and **Cho-Jui Hsieh (UCLA)**

Feb 23, 2022



# Can We Trust NNs in Mission-critical Tasks?



Autonomous Driving  
Aircraft Autopiloting



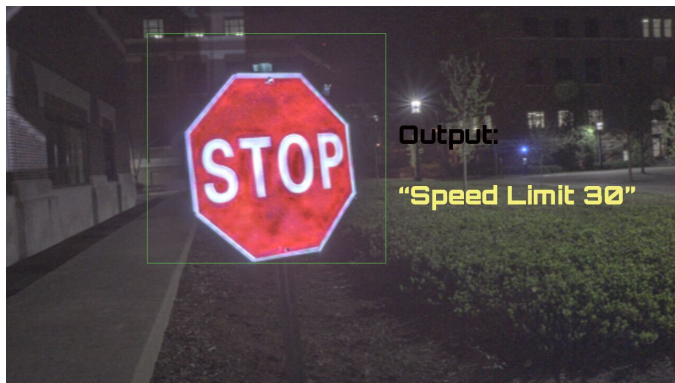
Medical Equipments  
AI-based Diagnosis



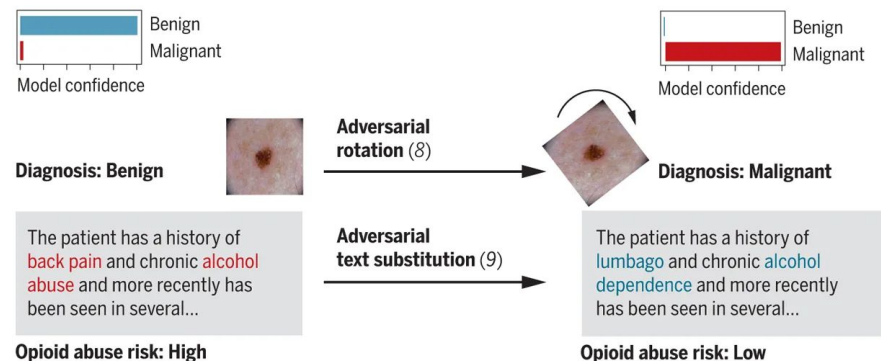
Security/Surveillance  
Systems

# Can We Trust NNs in Mission-critical Tasks?

Researchers say “no”...



“Optical adversarial attack” by Gnanasambandam et al., ICCV 2021

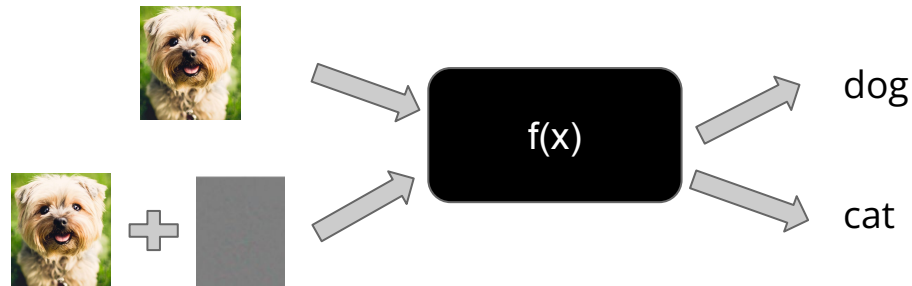


“Adversarial attacks on medical machine learning” by N. Cary et al., Science

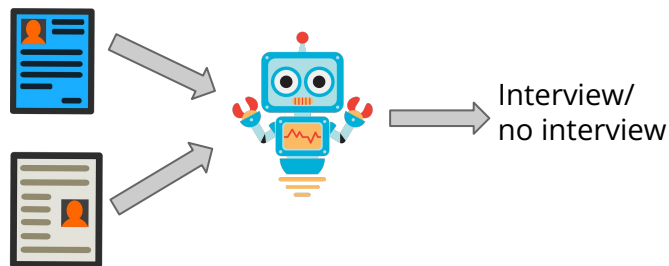
# What is Neural Network Verification?

We hope to *prove* that NNs have some desired properties we can *formally* trust:

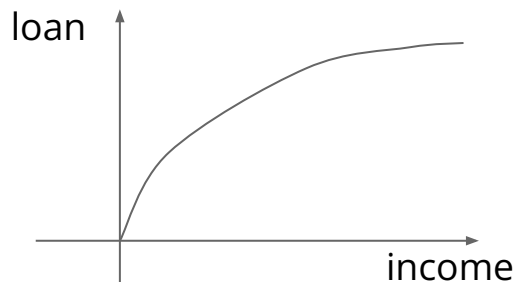
## Robustness



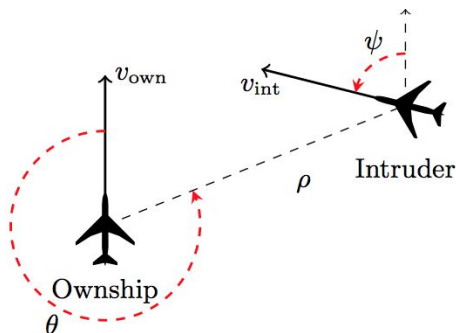
## Fairness



## Monotonicity

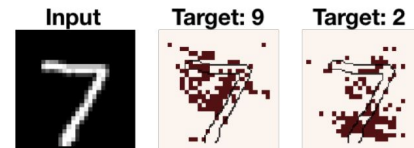


## Correctness

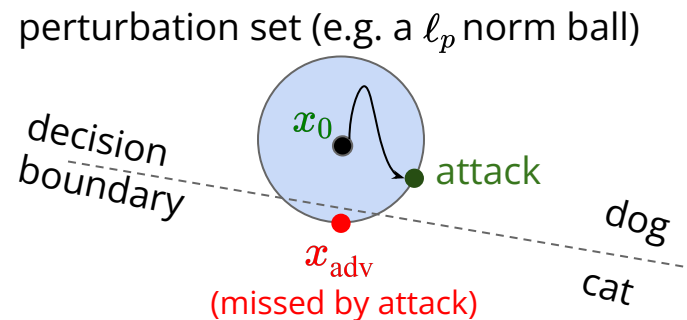
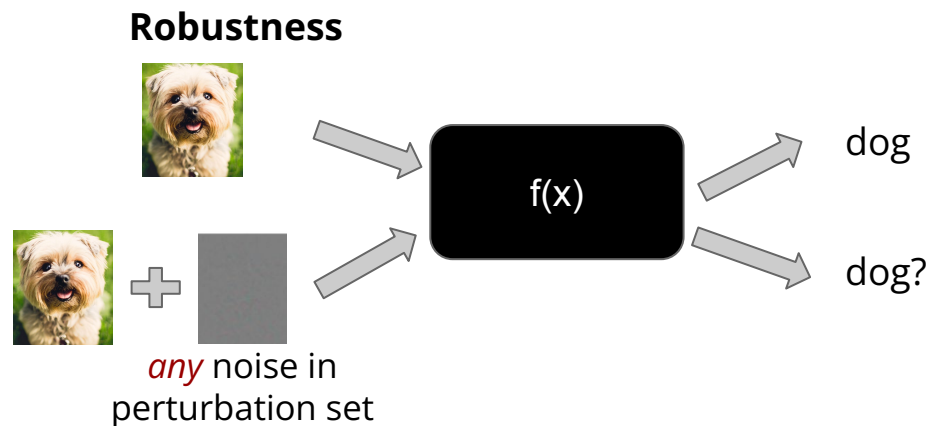


## Interpretability

what **bright planet** is often mistaken for a **UFO**? Both **murcery** and **jupiter** are often mistaken for such an event.



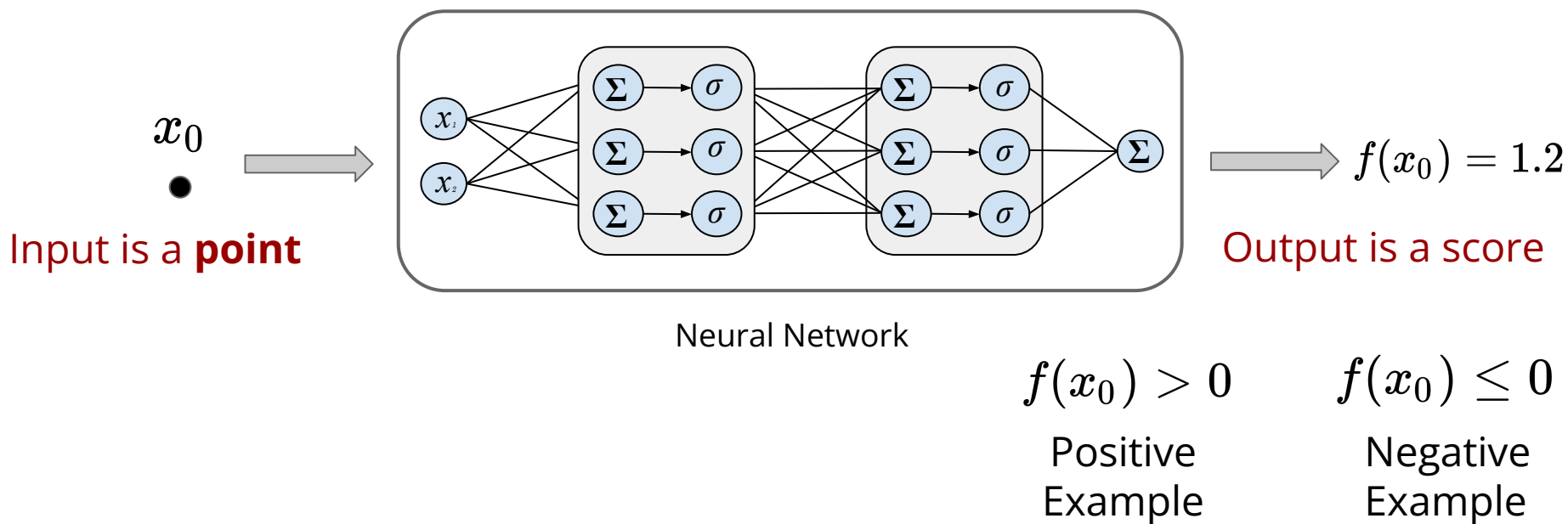
# What is Neural Network Verification?



- Verification requires *a formal proof* to show the property holds
- In the robustness verification setting, a model can't be attacked  $\neq$  Verified
- Many heuristic defense was broken under stronger attacks (e.g., Athalye et al. 2018)
- A verified model cannot be attacked by any attacks (including unforeseen ones)

# The Basic Formulation of Robustness Verification

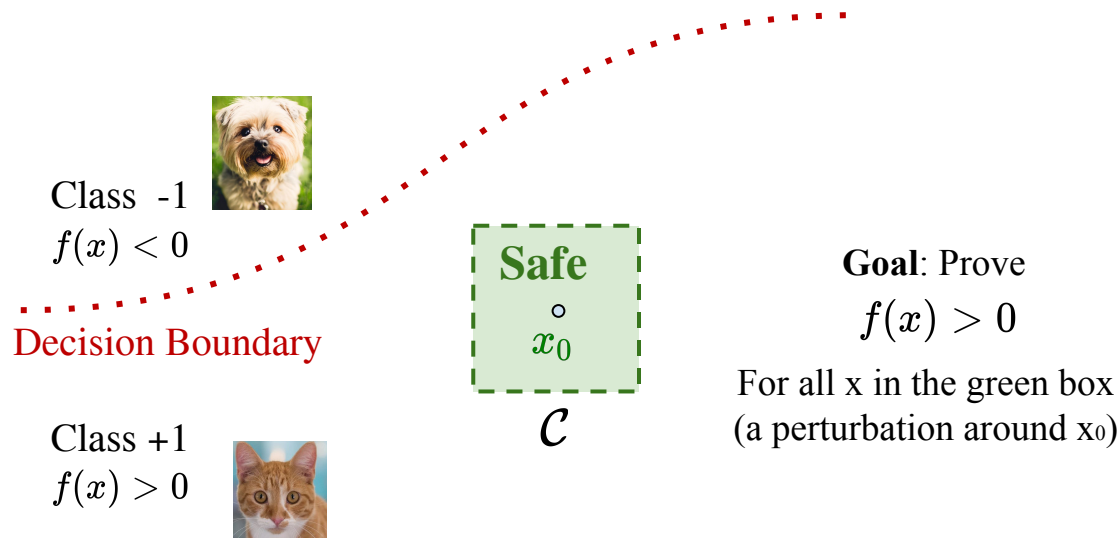
Consider a simple binary classification case:



# The Basic Formulation of Robustness Verification

Suppose  $f(x_0) > 0$ . Can we verify this property:

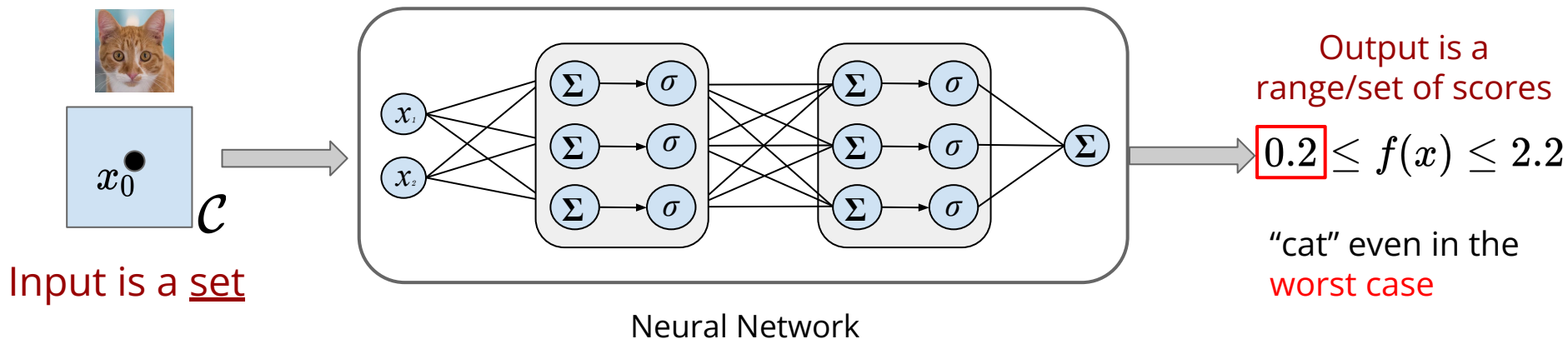
$$f(x) > 0, \forall x \in \mathcal{C}$$



# The Basic Formulation of Robustness Verification

Suppose  $f(x_0) > 0$ . Can we verify this property:

$$f(x) > 0, \forall x \in \mathcal{C}$$



Must consider a set of infinite points as the input of the NN.

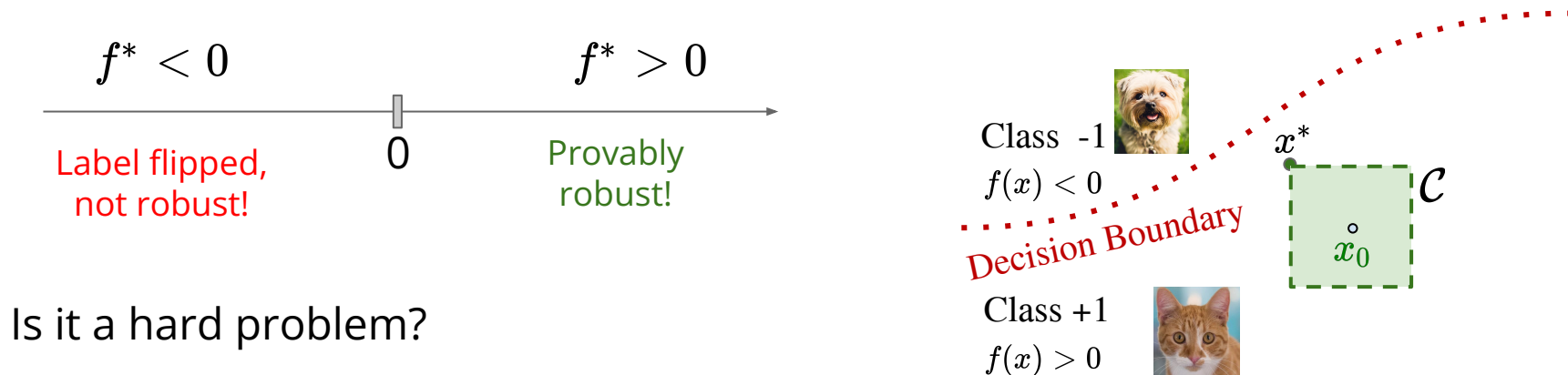


# The Basic Formulation of Robustness Verification

Assuming  $f(x_0) > 0$ , we solve the optimization problem to find the worst case:

$$f^* = \min_{x \in \mathcal{C}} f(x)$$

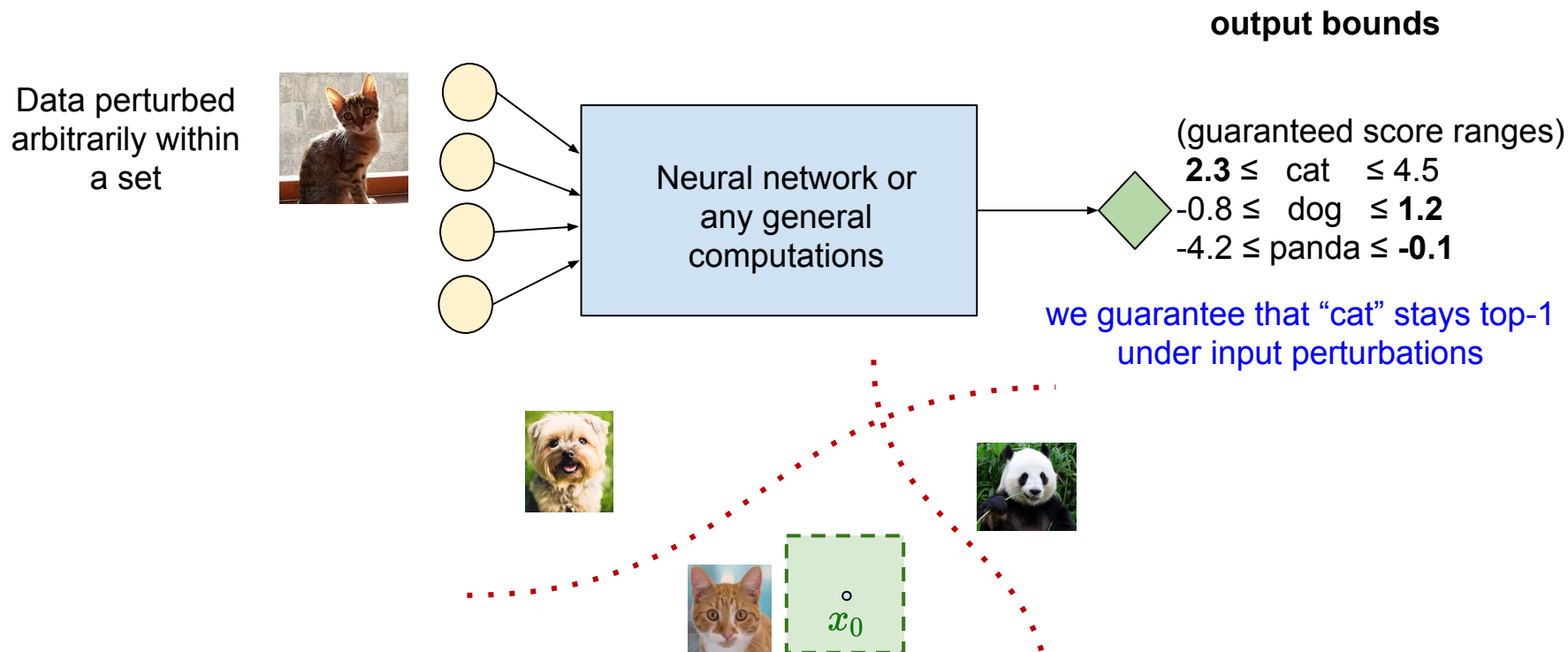
$\mathcal{C}$  is usually a perturbation set “around”  $x_0$ , e.g.,  $\mathcal{C} := \{x \mid \|x - x_0\|_p \leq \epsilon\}$



Is it a hard problem?

# The Basic Formulation of Robustness Verification

Multi-class case:



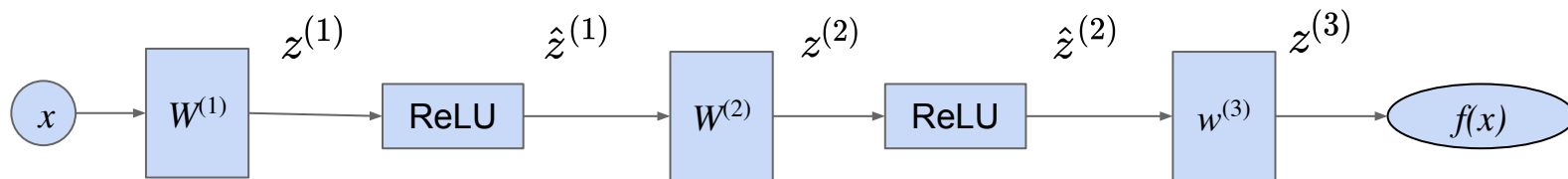
# Why the Verification Problem is Challenging?

This is the fundamental problem we want to solve (Wong & Kolter 2018, Salman et al. 2019):

$$\begin{aligned}
 & f^* = \min z^{(L)} \quad \text{Last layer output } f(x), \text{ at layer } L \\
 \text{s.t. } & z^{(i)} = W^{(i)} \hat{z}^{(i-1)} + b^{(i)} \quad i \in \{1, \dots, L\} \quad \text{Linear constraints} \\
 & \hat{z}^{(i)} = \sigma(z^{(i)}) \quad i \in \{1, \dots, L-1\} \quad \text{Non-linear, non-convex constraints} \\
 & \hat{z}^{(0)} = x, \quad x \in \mathcal{C} \quad \text{Input perturbations}
 \end{aligned}$$

pre-activation

post-activation

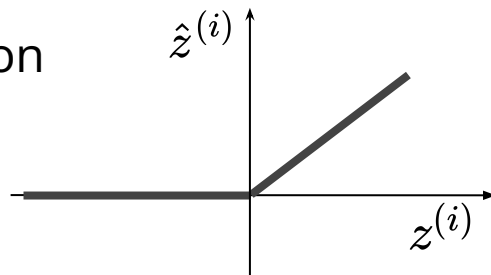


# Why the Verification Problem is Challenging?

$$\hat{z}^{(i)} = \sigma(z^{(i)}), i \in \{1, \dots, L-1\}$$

**Non-convex** constraints

e.g., ReLU function

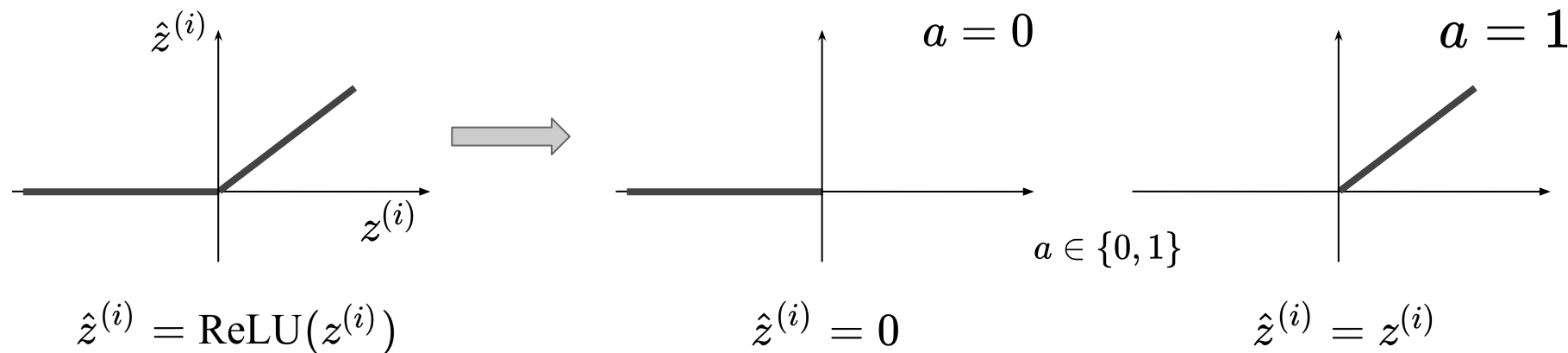


The constraint says that  $(\hat{z}^{(i)}, z^{(i)}) \in \text{Graph}(\text{ReLU})$

Generally, NP-complete (Katz et al., 2017)

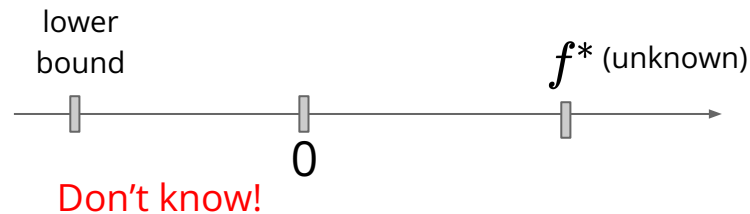
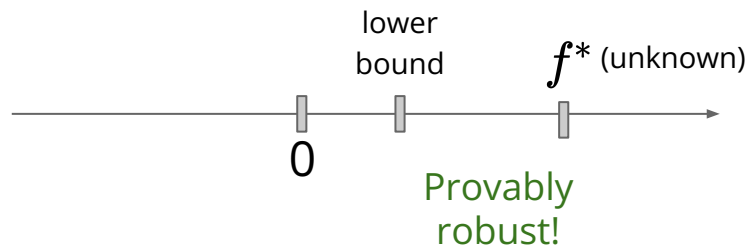
# Why the Verification Problem is Challenging?

- Approach 1: Using mixed integer programming (MIP) encoding of ReLU neurons (Tjeng et al. 2017) => *Complete verification* which solves the exact  $f^*$

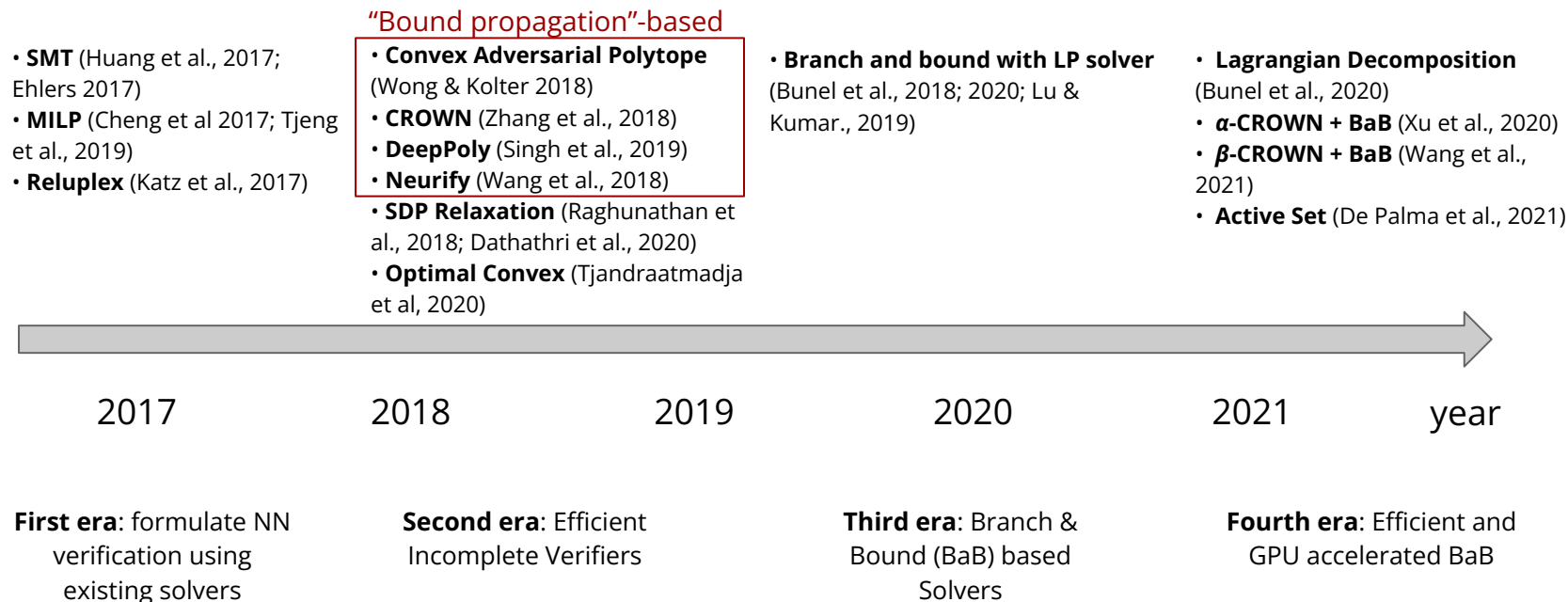


# Why the Verification Problem is Challenging?

- Approach 2: Relax the MIP to a LP (Salman et al. 2019) => **Incomplete verification**: find a *lower bound* of  $f^*$ . If **lower bound**  $> 0$ , the network is verifiably robust
  - Still requires an LP solver, which can still be slow for large networks
  - LP often produces loose bound; if lower bound  $\ll 0$  it is useless



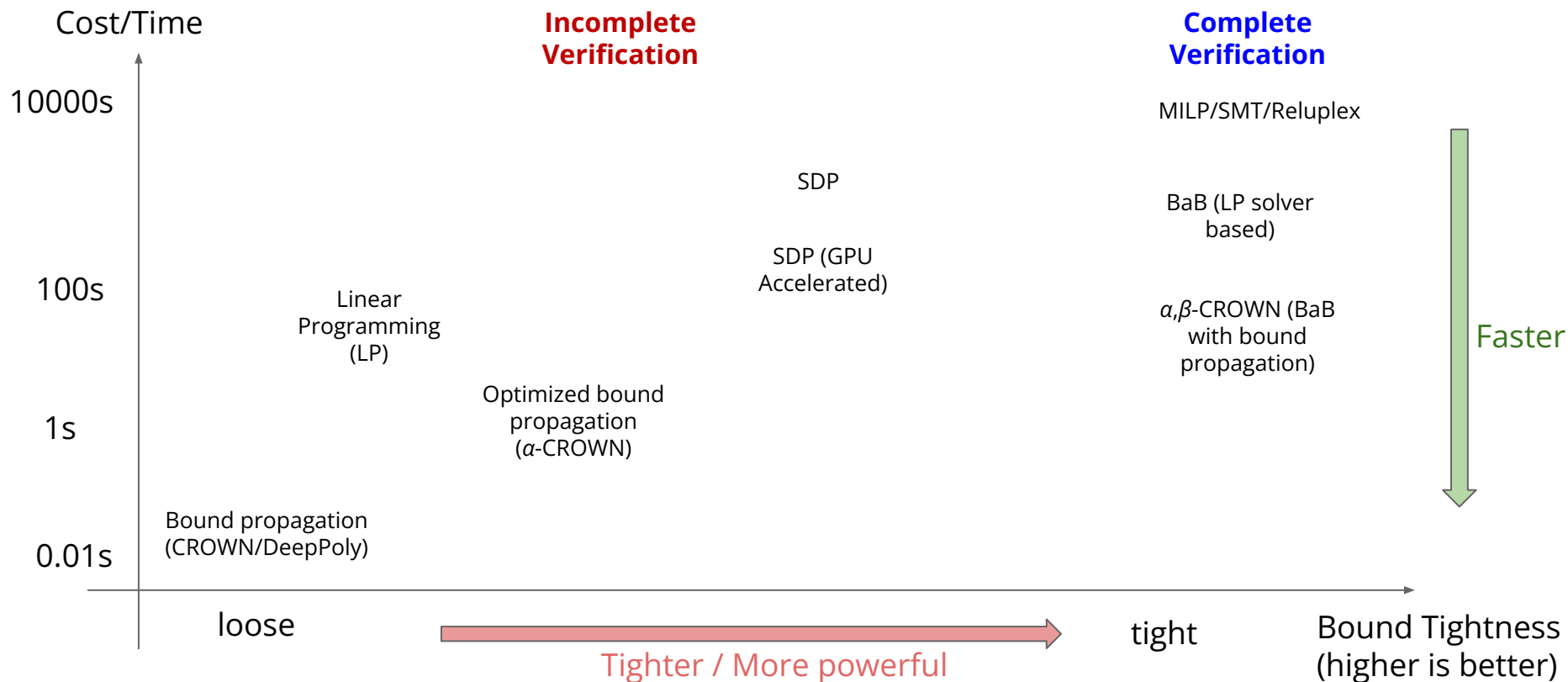
# Neural Network Verification: History



<100 neurons

CNN with >100K neurons

# Neural Network Verification: Representative Algorithms





# Next Part

**Basic Verification Algorithms (40min)**

**Practical Verification Tools (1 hr)**